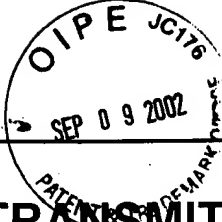


1754



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/714,477
Filing Date	11/16/2000
First Named Inventor	Kao, Sun-Chueh
Group Art Unit	1754
Examiner Name	Unassigned
Attorney Docket Number	2000U032.US

Total Number of Pages in This Submission **4**
(reference)

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TC 1700

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Preliminary Amendment / Response <input type="checkbox"/> After Final Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement Copies of Cited Art <input type="checkbox"/> Certified Copy of Priority Documents) <input type="checkbox"/> Response to Missing Part/ Incomplete Application <input type="checkbox"/> Response to Missing Parts	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Return Postcard</u>
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REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Kevin M. Faulkner	Registration No.	45,427
Signature	<i>Kevin M. Faulkner</i>		
Date	September 6, 2002		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: September 6, 2002

Typed or printed name	Tammy L. Hodges		
Signature	<i>Tammy L. Hodges</i>	Date	September 6, 2002

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SEP 10 2002

TC 1700

"PATENT"



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kao, et al.	§	EXAMINER:	Unassigned
SERIAL NO.: 09/714,477	§	GROUP ART UNIT:	1754
FILED: November 16, 2000	§	ATTY. DOCKET NO.:	2000U032.US
FOR: Method for Preparing a Catalyst Composition and its use in a Polymerization Process	§	DATE:	September 6, 2002

Honorable Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to Applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

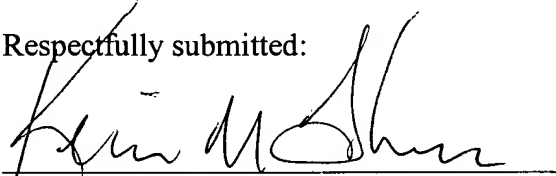
The references cited in this Information Disclosure Statement were brought to Applicants' attention in a foreign search report dated July 10, 2002, and are being submitted for consideration within the three-month period set forth in 37 CFR § 1.97(b)(3). It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO-1449 form to the undersigned agent. A copy of each document is enclosed.

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for any reason the Examiner

finds that the disclosure or documents do not comply with these sections, notification is respectfully requested.

If there are any matters or issues outstanding, the Examiner is encouraged to contact the attorney of record at the telephone number listed below.

Respectfully submitted:

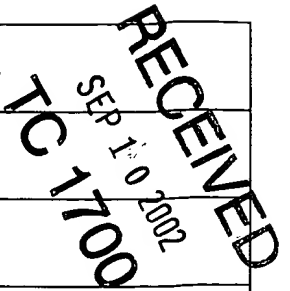
A handwritten signature in black ink, appearing to read "Kevin M. Faulkner", written over a horizontal line.

Kevin M. Faulkner
Attorney for Applicant
Registration No. 45,427

Univation Technologies LLC.
5555 San Felipe, Suite 1950
Houston, TX 77056
Telephone: (713) 892-3729
Facsimile: (713) 892-3687



INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2000U032.US	SERIAL NO. 09/714,477
	APPLICANT Kao, et al.	
	FILING DATE November 16, 2000	GROUP 1754



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,834,393	11/10/1998	Jacobsen et al.	502	152	
	AB						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC	EP 0 819 706 A1	21.01.1998	Europe				
	AD	EP 0 890 581 A1	13.01.1999	Europe				
	AE	EP 0 893 454 A1	27.01.1999	Europe				
	AF	WO 00/05237 A1	03.02.2000	Patent Cooperation Treaty				
	AG	WO 94/07928 A1	14.04.1994	Patent Cooperation Treaty				
	AH	WO 95/14044 A1	26.05.1995	Patent Cooperation Treaty				
	AI	WO 96/23010 A1	01.08.1996	Patent Cooperation Treaty				
	AJ	WO 96/27439 A1	12.09.1996	Patent Cooperation Treaty				
	AK	WO 99/12981 A1	18.03.1999	Patent Cooperation Treaty				
	AL							
	AM							
	AN							
	AO							

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AP	
	AQ	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant